



Version 02

Requirements for traceability system

Purpose	A summarised presentation of the Donau Soja traceability system, starting from the harvest declaration to the certification of unprocessed soya beans (=lot certification) from the primary agricultural collector to the primary processor as well as of processed soya products (=traceability certification) from the primary processor to the marketer.
Definition	<p>Traceability system: the purpose of the Donau Soja traceability system is to continuously transmit relevant information on the origin of products in the supply chain.</p> <p>Harvest declaration: the purpose of the harvest declaration is to provide harvest information to the certification body and Donau Soja Organisation.</p> <p>Lot certification: the purpose of the Donau Soja lot certification system is to ensure the traceability of unprocessed Donau Soja certified soya beans. Lot-based certification covers the stages from the primary agricultural collector to the primary processor.</p> <p>Traceability certification: the purpose of the Donau Soja traceability certification system is to ensure the traceability of processed Donau Soja certified soya products. Traceability certification covers the stages from the primary processor to the marketer.</p>
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1 Traceability of soya bean production

1.1 Harvest declarations are submitted by the primary collector to both their contracted certification body and Donau Soja Organisation after harvest (see R02, 3.2).

1.2 Harvest declarations are the starting point of the traceability system and include the following information:

- name, postal and e-mail addresses of the primary collector;
- name, postal and e-mail addresses of the delivering Donau Soja soya bean farmers;
- stock of Donau Soja soya beans;
- stock of soya bean qualities other than Donau Soja;
- harvest year;
- date of incoming deliveries and delivery volume of Donau Soja soya bean farmers.
- country of origin and, where relevant, parts thereof;
- geolocation of all plot of land on which the crop was produced; and



- where applicable, reference number of the product's due diligence statement in accordance with Regulation (EU) 2023/1115.

2 Traceability of unprocessed soya beans (=lot certification)

- 2.1 A lot certificate is needed only for trading in unprocessed soya beans, though not for trading in processed soya products or compound feed.
- 2.2 The following operations shall be in possession of Donau Soja lot certificates for trading in unprocessed Donau Soja soya beans:
 - soya bean farmers (producers) acting as primary collectors by selling Donau Soja soya beans directly to a trader (as defined in Requirements R 02, paragraph 10.2);
 - agricultural collectors / primary collectors (as defined in Requirements R 02);
 - traders (as defined in Requirements R 03); and
 - primary processors (as defined in Requirements R 04).

3 Traceability of processed soya products (=traceability certification)

- 3.1 A traceability certificate for trading in processed Donau Soja certified soya products shall be optional.
- 3.2 In case the product is traded on the EU market: a traceability certificate for trading in processed Donau Soja certified soya products shall be mandatory starting from the 2024 harvest.

The following operations shall hold Europe Soya traceability certificates for trading in processed Europe Soya soya products on the EU market:

- traders (as defined in Requirements R03); and
- primary processors (as defined in Requirements R04).

4 Selling Donau Soja soya beans and products using certificates

- 4.1 The seller shall send lot/traceability certificate requests to their certification body. Each request shall include the following information:
 - name and contact information of the buyer;
 - where applicable, codes of the lot/traceability certificates related to the quantity of Donau Soja soya to be sold;
 - type, trade name and quantity of the soya bean/product to be sold as Donau Soja soya or to be delivered to the buyer;
 - harvest year;
 - reference number of accompanying documents (e.g. contracts, delivery notes); and
 - where applicable, reference number of the product's due diligence statement in accordance with Regulation (EU) 2023/1115.
- 4.2 The seller shall accept as response from their certification body the lot/traceability certificate in the form of a signed PDF document. The lot/traceability certificate shall include the following information:



- name, postal and e-mail address of the seller;
- name, postal and e-mail address of the buyer;
- code of the certification body;
- code of the lot/traceability certificate;
- quantity of the soya bean lot/product unit certified as Donau Soja;
- harvest year;
- reference number of accompanying documents (e.g. contracts, delivery notes);
- where applicable, reference number of the product's due diligence statement in accordance with Regulation (EU) 2023/1115;
- "Donau Soja" logo.

Additionally, data on the geolocation of the plots of land on which the soya beans were produced can be provided on request.

- 4.3 The maximum quantity of Donau Soja soya beans or products comprised by a lot/unit is the quantity stipulated in the supply contract.
- 4.4 The seller shall send the lot/traceability certificates to the buyer of the corresponding lots/units.

5 Purchasing Donau Soja soya using lot certificates

- 5.1 Agricultural collectors, traders and primary processors may only buy Donau Soja soya beans if a lot certificate in the form of a signed document is handed over to them for the corresponding lot or the corresponding contract. This lot certificate shall include the information specified in paragraph 4.2.
- 5.2 Agricultural collectors, traders and primary processors may only import processed Donau Soja soya products to the EU if a traceability certificate in the form of a signed document is handed over to them for the corresponding unit or the corresponding contract. This traceability certificate shall include the information specified in paragraph 4.2.

6 Quantity amendment notification

- 6.1 If quantities delivered to a buyer deviate from the contracted delivery quantities, the company shall send a quantity amendment notification to their certification body, specifying the reason for the notification. The certification body shall then issue the amended lot/traceability certificate to the seller. The seller shall then forward the amended lot/traceability certificate to the buyer.