



**REQUIREMENTS 03, Version 09**

**Soya Trader**

Purpose	Specify the requirements to be met by Donau Soja soya traders.
Definition	Soya trader: company buying and selling soya beans or soya products and/or products containing or consisting of soya beans (e.g. compound feed)
Outline	1 Risk assessment .....1 2 Purchasing and selling soya, lot certification .....1 3 Directly commissioned inspections.....3 4 Supervisory inspections .....3 5 Traders also acting as agricultural collectors .....3 6 Special case .....3 7 Direct purchases of traders, not acting as agricultural collectors.....4
Status	Version 09: released by the Board on 29 November 2023

**1 Risk assessment**

- 1.1 The soya trader shall be assigned a “trader risk level” (= T-RL) based on the soya beans/products bought and sold by them.
- T-RL 0: soya trade with Donau Soja soya only;
  - T-RL 1: soya trade with GM-free soya only, but GM-free soya beans/products other than Donau Soja soya are possible;
  - T-RL 2: is not defined since the produce is not physically moved at this level (and the trade with crops other than soya is therefore not relevant);
  - T-RL 3: soya trade with GM soya and GM soya bean meal is also possible.

**2 Purchasing and selling soya, lot certification**

- 2.1 The trader may only buy and sell Donau Soja soya beans/products if these are referred to as “Donau Soja soya”, “Donau Soja” or “DS soya” on all invoices and delivery notes.
- 2.2 The trader may only buy and sell Donau Soja unprocessed soybeans if a lot certificate in the form of a signed (PDF) document is handed over to the trader for these soya beans. This lot certificate shall include the following information:
- name, postal and e-mail address of the seller;
  - name, postal and e-mail address of the buyer;
  - code of the certification body;
  - code of the lot certificate;
  - quantity of the soya bean lot certified as Donau Soja;
  - harvest year;
  - reference number of accompanying documents (e.g. contracts, delivery notes);
  - where applicable, reference number of the product’s due diligence statement in accordance with Regulation (EU) 2023/1115;



- "Donau Soja" logo.

A lot certificate is needed only for trading in unprocessed soya beans.

In case processed soya products are imported to or exported from the EU market:

A traceability certificate is mandatory for trading in Donau Soja processed soya products to and from the EU starting from the harvest 2024. This traceability certificate shall comply with paragraph 2.2 of the Requirements for the Soya Trader (R03) as well as with the Requirements for the Traceability System.

2.3 For selling Donau Soja soya, the trader shall send a lot/traceability certificate request to their certification body. Each request shall include the following information:

- name and contact information of the buyer;
- where applicable, codes of the lot/traceability certificates related to the quantity of Donau Soja soya to be sold;
- type, trade name and quantity of the soya bean/product to be sold as Donau Soja soya or to be delivered to the buyer;
- harvest year;
- reference number of accompanying documents (e.g. contracts, delivery notes); and
- where applicable, reference number of the product's due diligence statement in accordance with Regulation (EU) 2023/1115.

2.4 The trader shall accept as response from their certification body the lot/traceability certificate in the form of a signed PDF document. The lot/traceability certificate shall include the following information:

- name, postal and e-mail address of the seller;
- name, postal and e-mail address of the buyer;
- code of the certification body;
- code of the lot/traceability certificate;
- quantity of the soya bean lot/product unit certified as Donau Soja;
- harvest year;
- reference number of accompanying documents (e.g. contracts, delivery notes);
- where applicable, reference number of the product's due diligence statement in accordance with Regulation (EU) 2023/1115;
- "Donau Soja" logo.

Additionally, data on the geolocation of the plots of land on which the soya beans were produced can be provided on request.

2.5 The trader shall document the following information on all purchases and sales of soya beans/products and shall keep the documentation for at least 5 years from the date the soya beans/products were placed on the market or exported:

- names, postal and e-mail addresses and, where applicable, EU registration numbers (or equivalent specifications in non-EU countries) of all suppliers and customers;
- lot, trade name and type, quantity, quality label "Donau Soja", and lot/traceability certificates;



- country of origin and the geolocation of all plots of land on which the soya beans, that the relevant product contains or has been made from, were produced; <sup>1</sup>
- harvest year;
- delivery date, carrier and receiver.

### **3 Directly commissioned inspections**

- 3.1 The trader shall conclude an inspection contract with a certification body recognised by Donau Soja Organisation, commissioning this body to undertake chargeable inspections at the following frequency:
- traders of all risk levels: first inspection;
  - traders of risk levels 0 and 1 (T-RL 0 and 1): additional inspection every two years;
  - traders of risk level 3 (T-RL 3): additional annual inspection.
- 3.2 If the certified trader suspends or terminates their Donau Soja activity, Donau Soja Organisation may, at the expense of the trader, demand a final inspection by the directly commissioned certification body to verify all conformities from the last audit to the date of termination of the contract. The scope of the final inspection shall be reduced compared to a normal inspection, whereby the exact scope shall be determined by Donau Soja Organisation, if necessary after consultation with the directly commissioned certification body.

### **4 Supervisory inspections**

- 4.1 The trader shall accept supervisory inspections (risk-based sampling) by inspection bodies or inspectors commissioned by Donau Soja Organisation.

### **5 Traders also acting as agricultural collectors**

- 5.1 If the trader stores products produced with or from Donau Soja soya beans:  
The trader shall ensure that Donau Soja soya products are not mixed with soya products of other qualities.
- 5.2 If the trader stores Donau Soja soya beans:  
The trader needs to be certified as an agricultural collector in compliance with the Requirements R 02.

### **6 Special case**

#### **Transfer/imposition of responsibilities of an agricultural collector to/on a trader**

---

<sup>1</sup> Alternatively, the reference number of the product's due diligence statement in accordance with Regulation (EU) 2023/1115 shall also be accepted as evidence.



- 6.1 If a trader exclusively buys Donau Soja soya beans from an agricultural collector or a primary collector, they may assume specific, precisely defined obligations of this collector.
- 6.2 Particularly, the responsibility for buying produce in compliance with Donau Soja (with Declarations of Self-Commitment – Farmers (Soya Bean Producers) and plausibility check), checking of incoming produce (including retained samples), handling the quality management on site (paragraph 7 of R 02), as well as taking and storing retained samples in the outgoing produce department (paragraph 5.3 of R 02) may not be transferred.
- 6.3 If a trader exclusively uses one agricultural collector, this trader may commission external inspections (paragraph 8 of R 02 and paragraph 3 of the Requirements for Group Certifications) as well as the organisation and processing of lot certificates. In this case, the agricultural collector shall not be in possession of a certificate.

## **7 Direct purchases of traders, not acting as agricultural collectors, from soya bean farmers (producers)**

- 7.1 If a trader buys Donau Soja soya beans directly from a soya bean farmer (producer) located in a production area of risk level 0 or 1 (F-RL 0 or F-RL 1), without taking these beans into storage, this trader shall be considered a direct purchaser of Donau Soja soya beans.
- 7.2 In this case, the trader shall assume the obligations laid down in paragraph 2.1 (accepting produce from farmer and obtaining Declarations of Self-Commitment; list of delivering farmers), paragraph 2.4 (documentation of soya bean suppliers), paragraph 2.5 (plausibility check), paragraph 3.2 (harvest declarations) and paragraph 4 (acquisition of lot certificates) and paragraph 11.2. (group certification of farmers) of the Requirements 02, as well as in paragraph 3 of the Requirements for Group Certifications. The obligations laid down in paragraph 2.5 (retained samples), paragraph 3.1 (correct storage) and paragraph 7 (quality management) of the Requirements R 02 shall remain with the primary agricultural collector.