



Version 01

## Catalogue of Remedial Measures

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| Purpose    | Specify appropriate remedial measures for Donau Soja system partners.  |
| Definition | Remedial measure = measure to be taken when any Donau Soja system partner does not comply with any of the requirements   |
| Outline    | <ul style="list-style-type: none"> <li>1 Remedial measure level 1: reprimand .....1</li> <li>2 Remedial measure level 2: enhanced record-keeping and notification requirements .....1</li> <li>3 Remedial measure level 3: chargeable re-inspection .....1</li> <li>4 Remedial measure level 4: exclusion of produce/lots concerned from marketing.....2</li> <li>5 Remedial measure level 5: termination of contract where applicable and exclusion from marketing .....2</li> <li>6 Non-compliance issues and remedial measures.....2</li> </ul> |
| Status     | Version 01: released by the Board on 16 January 2013   |

### 1 Remedial measure level 1: reprimand

- 1.1 A level 1 remedial measure is imposed for minor non-compliance issues occurring for the first time and having no impact on product quality.
- 1.2 An immediate correction of deficiencies is required at this remedial measure level.

### 2 Remedial measure level 2: enhanced record-keeping and notification requirements

- 2.1 A level 2 remedial measure is imposed for repeated minor non-compliance issues.
- 2.2 Enhanced documentation requirements within a given period are required at this remedial measure level: improved record-keeping with regard to the accountability of compliance with the specifications and submitting relevant missing documentation to the certification body.

### 3 Remedial measure level 3: chargeable re-inspection

- 3.1 A chargeable re-inspection by the certification body is required at this remedial measure level.
- 3.2 Chargeable re-inspections are imposed for all (repeated) infringements covered by points 1 and 2 – particularly when it is necessary to verify whether a deficiency was corrected in time.
- 3.3 Furthermore, a level 3 remedial measure is imposed for major non-compliance issues which, however, do not yet entail a marketing ban.
- 3.4 If the certification body imposes a level 3 remedial measure, they shall inform Donau Soja Organisation immediately and in writing. Donau Soja Organisation shall also be notified in writing of the re-inspection result.



#### **4 Remedial measure level 4: exclusion of produce/lots concerned from marketing**

- 4.1 The exclusion of the lots concerned from being marketed as "Donau Soja" is required at this remedial measure level.
- 4.2 A level 4 remedial measure is imposed immediately for very serious non-compliance issues, or if a chargeable re-inspection within the scope of a level 3 remedial measure has a negative result.
- 4.3 If the certification body imposes a level 4 remedial measure, they shall inform Donau Soja Organisation immediately and in writing. Donau Soja Organisation shall also be notified in writing of the result of a necessary re-inspection.

#### **5 Remedial measure level 5: termination of contract where applicable and exclusion from marketing**

- 5.1 A level 5 remedial measure is imposed for very serious non-compliance issues showing that the necessary requirements cannot be met in a sustained manner.
- 5.2 Before imposing a level 5 remedial measure, the certification body shall inform Donau Soja Organisation in writing of the measure they intend to take and give reasons for their decision to take this measure. A level 5 remedial measure can only be applied if a level 4 remedial measure was imposed before.
- 5.3 In case of primary processors, compound feed producers and marketers/distributors of Donau Soja products (consisting of Donau Soja, containing Donau Soja, or having been produced as animal feed using Donau Soja, and labelled as such), the termination of the contract with Donau Soja Organisation, as well as the exclusion of the produce from being marketed under the product name "Donau Soja" or "fed with Donau Soja" are required at this remedial measure level. All partners who have a contract with Donau Soja Organisation shall be notified immediately of the imposition of such remedial measure.
- 5.4 In case of farmers, agricultural collectors, traders and other operations producing and/or processing soya designated as "Donau Soja" AND not having a direct contract with Donau Soja Organisation, a permanent marketing ban for Donau Soja shall be imposed on the operation concerned following the notification of Donau Soja Organisation by the certification body. All partners who have a contract with Donau Soja Organisation shall be notified immediately of the imposition of such remedial measure.

#### **6 Non-compliance issues and remedial measures**

- 6.1 In case of non-compliance with any requirement, the inspectors shall determine remedial measures in accordance with the present document "Catalogue of Remedial Measures" during their inspections.
- 6.2 Each non-compliance issue registered shall be documented by objective evidence (copies of documents, photos, etc.). The inspectors shall determine a deadline to solve the non-conformities, which shall not exceed twelve months.



- 6.3 If the non-compliance issues identified entail a level 3 or level 4 remedial measure, Donau Soja Organisation shall be informed immediately. In case of a level 5 remedial measure, Donau Soja Organisation shall be informed before imposing the remedial measure.
- 6.4 In case of serious non-compliance issues the Donau Soja catalogue of penalties shall be applied.