



Version 01

## Requirements for Lot Certification

Purpose	A summarised presentation of the Europe Soya lot certification system, from the primary agricultural collector to the primary processor.
Definition	The purpose of the Europe Soya lot certification system is to ensure traceability of certified, unprocessed Europe Soya soya beans. Lot-based certification covers the stages from primary agricultural collector to primary processor
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### 1 Lot certification system

- 1.1 A lot certificate is needed only for trading in unprocessed soya beans, though not for trading in processed soya products or compound feed.
- 1.2 The following operations shall be in possession of Europe Soya lot certificates for trading in unprocessed Europe Soya soya beans:
- soya bean farmers (producers) acting as primary collectors by selling Europe Soya soya beans directly to a trader (as defined in Requirements R 02, paragraph 10.2);
  - agricultural collectors / primary collectors (as defined in Requirements R 02);
  - traders (as defined in Requirements R 03); and
  - primary processors (as defined in Requirements R 04).

### 2 Selling Europe Soya soya using lot certificates

- 2.1 The seller (primary collector, agricultural collector, or trader) shall send lot certificate requests to their certification body. Each request shall include the following information:
- name and contact information of the buyer;
  - quantity of the lot to be sold as Europe Soya soya or quantity of Europe Soya soya intended to be delivered to the buyer;
  - name of the seller;
  - harvest year;
  - where applicable, codes of the lot certificates related to the quantity of Europe Soya soya to be sold.



- 2.2 The seller shall accept as response from their certification body the lot certificate in the form of a signed PDF document. The lot certificate shall include the following information:
- code of the certification body;
  - code of the lot certificate;
  - quantity of the soya bean lot certified as Europe Soya;
  - name and contact information of the buyer;
  - harvest year;
  - "Europe Soya" logo.
- 2.3 The maximum quantity of Europe Soya soya beans comprised by a lot is the quantity stipulated in the supply contract.
- 2.4 The seller shall send the lot certificates to the buyer of the corresponding lots.

### **3 Purchasing Europe Soya soya using lot certificates**

- 3.1 Agricultural collectors, traders and primary processors may only buy Europe Soya soya if a lot certificate in the form of a signed document is handed over to them for the corresponding lot or the corresponding contract. This lot certificate shall include the information specified in paragraph 2.2.

### **4 Quantity amendment notification**

- 4.1 If quantities delivered to a buyer deviate from the contracted delivery quantities, the company shall send a quantity amendment notification to their certification body, specifying the reason for the notification. The certification body shall then issue the amended lot certificate to the seller. The seller shall then forward the amended lot certificate to the buyer.