



Version 01

Requirements for Lot Certification

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| Purpose | A summarised presentation of the Donau Soja lot certification system, from the primary agricultural collector to the primary processor. |
| Definition | The purpose of the Donau Soja lot certification system is to ensure traceability of certified, unprocessed Donau Soja soya beans. Lot-based certification covers the stages from primary agricultural collector to primary processor |
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| Status | Version 01: released by the Board on 22 March 2017 |

1 Lot certification system

- 1.1 A lot certificate is needed only for trading in unprocessed soya beans, though not for trading in processed soya products or compound feed.
- 1.2 The following operations shall be in possession of Donau Soja lot certificates for trading in unprocessed Donau Soja soya beans:
- soya bean farmers (producers) acting as primary collectors by selling Donau Soja soya beans directly to a trader (as defined in Requirements R 02, paragraph 10.2);
 - agricultural collectors / primary collectors (as defined in Requirements R 02);
 - traders (as defined in Requirements R 03); and
 - primary processors (as defined in Requirements R 04).

2 Selling Donau Soja soya using lot certificates

- 2.1 The seller (primary collector, agricultural collector, or trader) shall send lot certificate requests to their certification body. Each request shall include the following information:
- name and contact information of the buyer;
 - quantity of the lot to be sold as Donau Soja soya or quantity of Donau Soja soya intended to be delivered to the buyer;
 - name of the seller;
 - harvest year;
 - where applicable, codes of the lot certificates related to the quantity of Donau Soja soya to be sold.



- 2.2 The seller shall accept as response from their certification body the lot certificate in the form of a signed PDF document. The lot certificate shall include the following information:
- code of the certification body;
 - code of the lot certificate;
 - quantity of the soya bean lot certified as Donau Soja;
 - name and contact information of the buyer;
 - harvest year;
 - "Donau Soja" logo.
- 2.3 The maximum quantity of Donau Soja soya beans comprised by a lot is the quantity stipulated in the supply contract.
- 2.4 The seller shall send the lot certificates to the buyer of the corresponding lots.

3 Purchasing Donau Soja soya using lot certificates

- 3.1 Agricultural collectors, traders and primary processors may only buy Donau Soja soya if a lot certificate in the form of a signed document is handed over to them for the corresponding lot or the corresponding contract. This lot certificate shall include the information specified in paragraph 2.2.

4 Quantity amendment notification

- 4.1 If quantities delivered to a buyer deviate from the contracted delivery quantities, the company shall send a quantity amendment notification to their certification body, specifying the reason for the notification. The certification body shall then issue the amended lot certificate to the seller. The seller shall then forward the amended lot certificate to the buyer.